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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/037,178	10/23/2001	Clifton Lind	108-988	6355

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EXAMINER

CAPRON, AARON J

ART UNIT

PAPER NUMBER

3714

DATE MAILED: 01/27/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

10/037,178

Applicant(s)

LIND ET AL.

Examiner

Aaron J. Capron

Art Unit

3714

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 26 December 2002.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-47 is/are pending in the application.
- 4a) Of the above claim(s) 43-47 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-42 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 4-8.

- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

MARK SAGER  
PRIMARY EXAMINER

## DETAILED ACTION

### *Election/Restrictions*

Applicant's election without traverse of Group I (Claims 1-42) in Paper No. 10 is acknowledged.

Claims 43-47 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected Group II, there being no allowable generic or linking claim.

Election was made **without** traverse in Paper No. 10.

This application contains claims 43-47 drawn to an invention nonelected with traverse in Paper No. 10. A complete reply to the final rejection must include cancellation of nonelected claims or other appropriate action (37 CFR 1.144) See MPEP § 821.01.

### *Specification*

Applicant is reminded of the proper language and format for an abstract of the disclosure.

The abstract should be in narrative form and generally limited to a single paragraph on a separate sheet within the range of 50 to 150 words. It is important that the abstract not exceed 150 words in length since the space provided for the abstract on the computer tape used by the printer is limited. The form and legal phraseology often used in patent claims, such as "means" and "said," should be avoided. The abstract should describe the disclosure sufficiently to assist readers in deciding whether there is a need for consulting the full patent text for details.

The language should be clear and concise and should not repeat information given in the title. It should avoid using phrases which can be implied, such as, "The disclosure concerns," "The disclosure defined by this invention," "The disclosure describes," etc.

### *Claim Rejections - 35 USC § 102*

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

Art Unit: 3714

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-9 and 11 are rejected under 35 U.S.C. 102(b) as being anticipated by Schneier et al (U.S. Patent No. 5,871,398; hereafter “Schneier”).

Schneier discloses a gaming method including the steps of creating a player account for a player, the player having an account balance (11:23-32); receiving a game ticket request from a player, the game ticket request identifying a play quantity, the play quantity comprising a value representing a quantity of game play outcomes to be obtained (9:50-57); determining if the account balance for the player account is sufficient for the play quantity (5:11-14); and in the event that the account balance for the player account is sufficient for the play quantity, applying a number of ticket indicia to a ticket substrate to produce a game ticket, each ticket indicia being directly identifiable, corresponding to a particular one of the game records, and representing the respective game play outcome associated with the particular one of the game records (the ticket being the AGAM: 9:57-10:4).

Referring to claims 2-3, Schneier discloses the step of applying game play information to the ticket substrate, the game play information being in machine readable form and specifying each game play outcome represented on the game ticket (13:61-14:8 and 1:42-2:7).

Referring to claim 4, Schneier discloses the step of applying the game play information includes applying a first value representing a sequential value correlated to a first game play outcome represented on the game ticket (Figure 10); and applying a second value representing the play quantity (17:53-67).

Referring to claim 5, Schneier discloses the step of applying the game play information includes applying a ticket identifier to the game ticket (14:1-3) and further including the step of recording in a data storage device separate from the game ticket a set of ticket data correlated to the ticket identifier, the set of ticket data identifying each game play outcome represented on the game ticket (Figure 3).

Referring to claim 6, Schneier discloses the steps of distributing the game ticket to the player; reading the game play information from the game ticket at a player terminal (Figure 1); and for at least one game play outcome represented on the game ticket, displaying a graphic game representation indicating the respective game play outcome represented on the game ticket, each respective graphic game representation being displayed in response to a respective player input made after the step of reading the game play information at the player terminal (5:56-6:19).

Referring to claim 7, Schneier discloses the step of displaying the graphic game representation comprises displaying a representation related to a casino type game (5:56-6:19: bingo or poker).

Referring to claim 8, Schneier discloses the steps of deducting a cost associated with each respective game play outcome represented on the game ticket substantially concurrently with the step of applying the ticket indicia to the ticket substrate and adding a payoff amount associated with at least one game play outcome in response to a ticket redemption request initiated by the player (11:23-32 and 20:10-52)

Referring to claim 9, Schneier discloses that each ticket indicia includes an outcome code selected from a set of available codes for a game being played (10:33-58).

Referring to claim 11, Schneier discloses the steps of distributing the game ticket to the player; reading the game play information from the game ticket at a point of sale terminal after the game ticket is distributed to the player; and providing the player with a result of the game ticket after reading the game play information (Figure 8).

Claims 14-21 correspond in scope to a medium set forth for use of the method listed in claims listed above and are encompassed by use as set forth in the rejection above.

Claims 22-29 correspond in scope to a medium set forth for use of the method listed in claims listed above and are encompassed by use as set forth in the rejection above.

Claims 30-33 correspond in scope to a method set forth for use of the method listed in claims listed above and are encompassed by use as set forth in the rejection above.

Claims 34-37 correspond in scope to a medium set forth for use of the method listed in claims listed above and are encompassed by use as set forth in the rejection above.

Claims 38-42 correspond in scope to a medium set forth for use of the method listed in claims listed above and are encompassed by use as set forth in the rejection above.

Claims 10 and 12-13 are rejected under 35 U.S.C. 102(b) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over Schneier.

Referring to claim 10, Schneier discloses the step of displaying a prize table in which each outcome code in the set of available outcome codes is associated with a prize level in the game (5:56-6:5 and 1:42-2:9). Furthermore, it would be obvious to include into Schneier a prize table for a casino type game or a pulltab type game in order for the player to determine their own winnings.

Referring to claim 12, Schneier discloses the step of applying a cover material to the ticket substrate, the cover material obscuring each ticket indicia applied to the ticket substrate (5:56-6:5 and 2:10-29). Furthermore, it would be obvious to include into Schneier a cover material covering the ticket indicia and substrate in order to create excitement for the player due to the anticipation of a possible winning outcome.

Referring to claim 13, Schneier discloses the step of applying each ticket indicia to the ticket substrate through a cover material that obscures each respective ticket indicia (5:56-6:5 and 2:10-29).

### *Conclusion*

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Aaron J. Capron whose telephone number is (703) 305-3520. The examiner can normally be reached on M-F 8-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tom Hughes can be reached on (703) 308-1806. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 872-9302 for regular communications and (703) 872-9303 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-1148.

ajc  
January 23, 2003

  
MARK SAGER  
PRIMARY EXAMINER